APPENDIX 5:

Table Displaying the Caveat-Free & Caveat-Imposing NATO & Partner Nation TCNs within the ISAF Coalition, December 2003-2012

* This table was created by Dr Regeena Kingsley and is taken from her PhD thesis in Defence & Strategic Studies (2014) entitled, 'Fighting against Allies: An Examination of "National Caveats" within the NATO-led International Security Assistance Force (ISAF) Campaign in Afghanistan & their Impact on ISAF Operational Effectiveness, 2002-2012.'

The table was created based on the caveat data gathered and generated during the course of this doctoral research from 2008-2014, especially the data specifically relating to caveat-free and caveat-imposing Troop Contributing Nation (TCN) countries within the ISAF mission, over the period of nearly a decade of warfare in Afghanistan between August 2003 and December 2012.

For more information on "national caveats" and the impact of national caveat constraints on the effectiveness of the NATO-led ISAF Operation in Afghanistan, in addition to previous UN-led and NATO-led multinational security operations in Angola, Rwanda, Bosnia-Herzegovina and Kosovo, please visit www.militarycaveats.com or refer to Dr Regeena Kingsley's full Thesis and its accompanying volume of Appendices (including ISAF national caveat lists), which can be freely viewed and downloaded from Massey University's official website here: http://mro.massey.ac.nz/xmlui/handle/10179/6984.

| KEY: | |
|-------------------|---|
| Nation | New TCN |
| Nation | Ex-TCN (Nation withdrawn from ISAF coalition) |
| Nation | Caveat-Free TCN |
| Nation | Caveat-Imposing TCN |
| Nation | New Caveat-Free TCN |
| Nation | Ex Caveat-Free TCN (Through Reimposition of Caveats) |
| Nation | New Caveat-Imposing TCN |
| Nation | Ex Caveat-Imposing TCN (Through Removal of Caveats or Exit from the ISAF) |

| Year (Dec) | Total # TCNs | NATO Nations | PARTNER Nations | Total # Caveat- Free TCNs | Caveat- Free Nations | Total # Caveat- Imposing TCNs | Caveat- Imposing Nations |
|---------------|--------------------|--|--|---------------------------------------|------------------------------|-------------------------------|--|
| 2003 | 35 | Belgium Canada Czech Rep Denmark France Germany Greece Hungary Iceland Italy Luxembourg Netherlands Norway Poland Portugal Spain Turkey UK USA | Albania Azerbaijan Bulgaria Croatia Estonia Finland Ireland Latvia Lithuania Macedonia NZ Romania Slovakia Slovenia Sweden Switzerland | 3 (9%) | NATO(2) USA UK PARTNER(1) NZ | 32 (91%) | NATO(17) Belgium Canada Czech Rep Denmark France Germany Greece Hungary Iceland Italy Luxembourg Netherlands Norway Poland Portugal Spain Turkey PARTNER(15) Albania Azerbaijan Bulgaria Croatia Estonia Finland Ireland Latvia Lithuania Macedonia Romania Slovakia Slovenia Sweden Switzerland |

| 2004 | 36 | 26 | 10 | 3 | <u>NATO(2)</u> | 33 | NATO(24) |
|-------|----|------------|------------|-------|----------------|--------|-------------|
| 200-1 | 30 | [+Bulgaria | [-Bulgaria | (8%) | USA | (92%) | Belgium |
| | | +Estonia | -Estonia | (0/0) | UK | (3270) | Bulgaria |
| | | +Latvia | -Latvia | | PARTNER(1) | | Canada |
| | | +Lithuania | -Lithuania | | NZ | | Czech Rep |
| | | +Romania | -Romania | | 112 | | Denmark |
| | | +Slovakia | -Slovakia | | | | Estonia |
| | | +Slovania] | -Slovenia | | | | France |
| | | 15lovernaj | +Austria] | | | | Germany |
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| | | | | | | | PARTNER(9) |
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| 2005 | 36 | 26 | 10 | 3 | <u>NATO(2)</u> | 33 | <u>NATO(24)</u> |
|------|----|----|----|-------|----------------|--------|---------------------|
| 2003 | 30 | 20 | 10 | (8%) | USA | (92%) | Belgium |
| | | | | (3/3) | UK | (0=/0) | Bulgaria |
| | | | | | PARTNER(1) | | Canada |
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| 2006 | 37 | 26 | 11 | 16 | NATO(14) | 21 | NATO(12) |
|------|----|----|------------------|--------|------------------|--------|----------------------|
| 2000 | 37 | 20 | [+Australia] | (43%) | USA | (57%) | Belgium |
| | | | [· r lastrana] | (1370) | UK | (3770) | Bulgaria |
| | | | | | Canada | | Canada |
| | | | | | Norway | | Czech Rep. |
| | | | | | Poland | | Denmark |
| | | | | | Netherlands | | Estonia |
| | | | | | Romania | | France |
| | | | | | Denmark | | Germany |
| | | | | | Estonia | | Greece |
| | | | | | Czech Rep | | Hungary |
| | | | | | Slovenia | | Iceland |
| | | | | | Latvia | | Italy |
| | | | | | Lithuania | | Latvia |
| | | | | | Hungary | | Lithuania |
| | | | | | Greece | | Luxembourg |
| | | | | | Norway | | Netherlands |
| | | | | | PARTNER(2) | | Norway |
| | | | | | NZ | | Norway |
| | | | | | Finland | | Poland |
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| 2007 | 39 | 26 | 13 | 17 | <u>NATO(11)</u> | 22 | <u>NATO(15)</u> |
|------|----|----|-----------|--------|---------------------|--------|----------------------|
| 2007 | 39 | 20 | [+Jordan | (44%) | USA | (56%) | Belgium |
| | | | +Georgia] | (4470) | UK | (3070) | Bulgaria |
| | | | +Georgiaj | | Canada | | Denmark |
| | | | | | Poland | | France |
| | | | | | Netherlands | | Germany |
| | | | | | Romania | | Greece |
| | | | | | Estonia | | Iceland |
| | | | | | Czech Rep | | Italy |
| | | | | | Latvia | | |
| | | | | | Latvia Lithuania | | Luxembourg |
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| | | | | | Portugal | | Portugal |
| | | | | | Greece | | Slovenia |
| | | | | | Denmark | | Slovakia |
| | | | | | Slovenia | | Spain |
| | | | | | Portugal | | Turkey |
| | | | | | PARTNER(6) | | PARTNER(7) |
| | | | | | NZ | | Albania |
| | | | | | Finland | | Austria |
| | | | | | Macedonia | | Australia |
| | | | | | Georgia | | Azerbaijan |
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| 2008 | 41 | 26 | 15 | 15 | <u>NATO(10)</u> | 26 | <u>NATO(16)</u> |
|------|----|----|-------------|--------|-----------------|--------|-----------------|
| 2000 | | 20 | [+Singapore | (37%) | USA | (63%) | Belgium |
| | | | +Ukraine | (3775) | UK | (3375) | Bulgaria |
| | | | -Switz. | | Canada | | Denmark |
| | | | +UAE] | | Poland | | France |
| | | | · O/ (L) | | Netherlands | | Germany |
| | | | | | Romania | | Greece |
| | | | | | Estonia | | Hungary |
| | | | | | Czech Rep | | Iceland |
| | | | | | Latvia | | Italy |
| | | | | | Lithuania | | Luxembourg |
| | | | | | Hungary | | Norway |
| | | | | | PARTNER(5) | | Portugal |
| | | | | | NZ | | Slovenia |
| | | | | | Macedonia | | Slovakia |
| | | | | | Georgia | | Spain |
| | | | | | Albania | | Turkey |
| | | | | | Croatia | | PARTNER(10) |
| | | | | | Finland | | Austria |
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| 2009 | 43 | 28 | 15 | 22 | <u>NATO(19)</u> | 21 | <u>NATO(9)</u> |
|-------|----|--------------------------|--------------|--------|------------------------|--------|---------------------|
| -2005 | 73 | 20 [+ Albania | [-Albania | (51%) | <u>NATO(13)</u> USA | (49%) | Belgium |
| | | +Croatia] | -Croatia | (3170) | UK | (4370) | Bulgaria |
| | | TCIOatia | +Bosnia-Herz | | Canada | | Denmark |
| | | | +Armenia] | | Poland | | France |
| | | | *Almemuj | | Netherlands | | Germany |
| | | | | | Romania | | Greece |
| | | | | | Estonia | | Hungary |
| | | | | | Czech Rep | | Iceland |
| | | | | | Latvia | | Italy |
| | | | | | Latvia | | |
| | | | | | | | Luxembourg |
| | | | | | Albania * | | Norway |
| | | | | | <u>Croatia*</u> | | Portugal |
| | | | | | Italy - | | Slovenia |
| | | | | | France | | Slovakia |
| | | | | | Bulgaria | | Spain |
| | | | | | Norway (2x) | | Turkey |
| | | | | | Denmark(2x) | | PARTNER(12) |
| | | | | | Portugal (2x) | | Armenia |
| | | | | | Hungary (2x) | | Austria |
| | | | | | PARTNER(3) | | Australia |
| | | | | | NZ | | Azerbaijan |
| | | | | | Macedonia | | Bosnia-Herz |
| | | | | | Georgia | | Finland |
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| 2010 | 48 | 28 | 20 | 20 | NATO(17) | 28 | <u>NATO(11)</u> |
|------|----|----|-------------|--------|---------------|--------|-----------------|
| _0_0 | .0 | 20 | [+Monte- | (42%) | UK | (58%) | Belgium |
| | | | negro | (12/0) | Canada | (3370) | Germany |
| | | | +Mongolia | | Poland | | Greece |
| | | | +Rep. Korea | | Romania | | Iceland |
| | | | +Tonga | | Estonia | | Luxembourg |
| | | | +Malaysia] | | Czech Rep | | Netherlands |
| | | | , | | Latvia | | Slovenia |
| | | | | | Lithuania | | Slovakia |
| | | | | | Albania | | Spain |
| | | | | | Croatia | | Turkey |
| | | | | | Italy | | USA |
| | | | | | France | | |
| | | | | | Bulgaria | | PARTNER(17) |
| | | | | | Norway (2x) | | Armenia |
| | | | | | Demark (2x) | | Austria |
| | | | | | Portugal (2x) | | Australia |
| | | | | | Hungary (2x) | | Azerbaijan |
| | | | | | USA | | Bosnia-Herz |
| | | | | | Netherlands | | Finland |
| | | | | | PARTNER(3) | | Ireland |
| | | | | | NZ | | Jordan |
| | | | | | Macedonia | | Malaysia |
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| 2011 | 49 | 28 | 21 | 19 | <u>NATO(16)</u> | 30 | <u>NATO(12)</u> |
|------|----|----|-----------|--------|-----------------|--------|-----------------|
| | | - | [+El | (39%) | UK | (61%) | Belgium |
| | | | Salvador] | (=== , | Poland | (= -) | Canada |
| | | | • | | Romania | | Germany |
| | | | | | Estonia | | Greece |
| | | | | | Czech Rep | | Iceland |
| | | | | | Latvia | | Luxembourg |
| | | | | | Lithuania | | Netherlands |
| | | | | | Albania | | Slovenia |
| | | | | | Croatia | | Slovakia |
| | | | | | Italy | | Spain |
| | | | | | France | | Turkey |
| | | | | | Bulgaria | | USA |
| | | | | | Norway (2x) | | PARTNER(18) |
| | | | | | Demark (2x) | | Armenia |
| | | | | | Portugal (2x) | | Austria |
| | | | | | Hungary (2x) | | Australia |
| | | | | | Canada | | Azerbaijan |
| | | | | | PARTNER(3) | | Bosnia-Herz |
| | | | | | NZ | | El Salvador |
| | | | | | Macedonia | | Finland |
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| | | | | | 22218131 | | Jordan |
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| 2012 | 50 | 28 | 22 | 18 | <u>NATO(15)</u> | 32 | NATO(13) |
|------|----|----|------------|-------|-----------------|-------|-------------|
| | | | [+Bahrain] | (36%) | UK | (64%) | Belgium |
| | | | . , | , | Poland | | Canada |
| | | | | | Romania | | Germany |
| | | | | | Estonia | | Greece |
| | | | | | Czech Rep | | Hungary |
| | | | | | Latvia | | Iceland |
| | | | | | Lithuania | | Luxembourg |
| | | | | | Albania | | Netherlands |
| | | | | | Croatia | | Slovenia |
| | | | | | Italy | | Slovakia |
| | | | | | France | | Spain |
| | | | | | Bulgaria | | Turkey |
| | | | | | Norway (2x) | | USA |
| | | | | | Demark (2x) | | PARTNER(19) |
| | | | | | Portugal (2x) | | Armenia |
| | | | | | Hungary | | Austria |
| | | | | | PARTNER(3) | | Australia |
| | | | | | NZ | | Azerbaijan |
| | | | | | Macedonia | | Bahrain |
| | | | | | Georgia | | Bosnia-Herz |
| | | | | | | | El Salvador |
| | | | | | | | Finland |
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Compiled by Dr Regeena Kingsley