

## **APPENDIX 5:**

**Table Displaying the Caveat-Free & Caveat-Imposing  
NATO & Partner Nation TCNs within the ISAF Coalition,  
December 2003-2012**

\* This table was created by Dr Regeena Kingsley and is taken from her PhD thesis in Defence & Strategic Studies (2014) entitled, *'Fighting against Allies: An Examination of "National Caveats" within the NATO-led International Security Assistance Force (ISAF) Campaign in Afghanistan & their Impact on ISAF Operational Effectiveness, 2002-2012.'*

The table was created based on the caveat data gathered and generated during the course of this doctoral research from 2008-2014, especially the data specifically relating to caveat-free and caveat-imposing Troop Contributing Nation (TCN) countries within the ISAF mission, over the period of nearly a decade of warfare in Afghanistan between August 2003 and December 2012.

For more information on "national caveats" and the impact of national caveat constraints on the effectiveness of the NATO-led ISAF Operation in Afghanistan, in addition to previous UN-led and NATO-led multinational security operations in Angola, Rwanda, Bosnia-Herzegovina and Kosovo, please visit [www.militarycaveats.com](http://www.militarycaveats.com) or refer to Dr Regeena Kingsley's full Thesis and its accompanying volume of Appendices (including ISAF national caveat lists), which can be freely viewed and downloaded from Massey University's official website here: <http://mro.massey.ac.nz/xmlui/handle/10179/6984>.

<b>KEY:</b>	
<i>Nation</i>	<b>New TCN</b>
<del>Nation</del>	<b>Ex-TCN (Nation withdrawn from ISAF coalition)</b>
<b>Nation</b>	<b>Caveat-Free TCN</b>
<b>Nation</b>	<b>Caveat-Imposing TCN</b>
<b>Nation</b>	<b>New Caveat-Free TCN</b>
<del>Nation</del>	<b>Ex Caveat-Free TCN (Through Reimposition of Caveats)</b>
<b>Nation</b>	<b>New Caveat-Imposing TCN</b>
<del>Nation</del>	<b>Ex Caveat-Imposing TCN (Through Removal of Caveats or Exit from the ISAF)</b>

Year (Dec)	Total # TCNs	NATO Nations	PARTNER Nations	Total # Caveat-Free TCNs	Caveat-Free Nations	Total # Caveat-Imposing TCNs	Caveat-Imposing Nations
2003	35	19 Belgium Canada Czech Rep Denmark France Germany Greece Hungary Iceland Italy Luxembourg Netherlands Norway Poland Portugal Spain Turkey UK USA	16 Albania Azerbaijan Bulgaria Croatia Estonia Finland Ireland Latvia Lithuania Macedonia NZ Romania Slovakia Slovenia Sweden Switzerland	3 (9%)	<u>NATO(2)</u> USA UK <u>PARTNER(1)</u> NZ	32 (91%)	<u>NATO(17)</u> Belgium Canada Czech Rep Denmark France Germany Greece Hungary Iceland Italy Luxembourg Netherlands Norway Poland Portugal Spain Turkey <u>PARTNER(15)</u> Albania Azerbaijan Bulgaria Croatia Estonia Finland Ireland Latvia Lithuania Macedonia Romania Slovakia Slovenia Sweden Switzerland

<b>2004</b>	<b>36</b>	26 [+Bulgaria +Estonia +Latvia +Lithuania +Romania +Slovakia +Slovenia]	10 [-Bulgaria -Estonia -Latvia -Lithuania -Romania -Slovakia -Slovenia <span style="background-color: #90EE90;">+Austria]</span>	3 (8%)	<u><b>NATO(2)</b></u> USA UK <u><b>PARTNER(1)</b></u> NZ	33 (92%)	<u><b>NATO(24)</b></u> Belgium Bulgaria Canada Czech Rep Denmark Estonia France Germany Greece Hungary Iceland Italy Latvia Lithuania Luxembourg Netherlands Norway Poland Portugal Romania Slovakia Slovenia Spain Turkey <u><b>PARTNER(9)</b></u> Albania <span style="background-color: orange;">Austria</span> Azerbaijan Croatia Finland Ireland Macedonia Sweden Switzerland
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<b>2005</b>	<b>36</b>	26	10	3 (8%)	<u><b>NATO(2)</b></u> USA UK <u><b>PARTNER(1)</b></u> NZ	33 (92%)	<u><b>NATO(24)</b></u> Belgium Bulgaria Canada Czech Rep Denmark Estonia France Germany Greece Hungary Iceland Italy Latvia Lithuania Luxembourg Netherlands Norway Poland Portugal Romania Slovakia Slovenia Spain Turkey <u><b>PARTNER(9)</b></u> Albania Austria Azerbaijan Croatia Finland Ireland Macedonia Sweden Switzerland
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2006	37	26	11 [+Australia]	16 (43%)	<p><b><u>NATO(14)</u></b>                  USA                  UK                  Canada                  Norway                  Poland                  Netherlands                  Romania                  Denmark                  Estonia                  Czech Rep                  Slovenia                  Latvia                  Lithuania                  Hungary                  Greece                  Norway  <b><u>PARTNER(2)</u></b>                  NZ                  Finland</p>	21 (57%)	<p><b><u>NATO(12)</u></b>                  Belgium                  Bulgaria                  Canada  <del>Czech Rep.</del>                  Denmark                  Estonia                  France                  Germany                  Greece                  Hungary                  Iceland                  Italy                  Latvia                  Lithuania                  Luxembourg                  Netherlands                  Norway                  Norway                  Poland                  Portugal                  Romania                  Slovakia                  Slovenia                  Spain                  Turkey  <b><u>PARTNER(9)</u></b>                  Albania                  Austria                  Australia                  Azerbaijan                  Croatia                  Finland                  Macedonia                  Ireland                  Sweden                  Switzerland</p>
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<b>2007</b>	<b>39</b>	26	13 [+Jordan +Georgia]	17 (44%)	<u><b>NATO(11)</b></u> USA UK Canada Poland Netherlands Romania Estonia Czech Rep Latvia Lithuania Hungary Portugal Greece Denmark Slovenia Portugal <u><b>PARTNER(6)</b></u> NZ Finland Macedonia Georgia Albania Croatia	22 (56%)	<u><b>NATO(15)</b></u> Belgium Bulgaria Denmark France Germany Greece Iceland Italy Luxembourg Norway Portugal Portugal Slovenia Slovakia Spain Turkey <u><b>PARTNER(7)</b></u> Albania Austria Australia Azerbaijan Croatia Jordan Macedonia Ireland Sweden Switzerland
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<b>2008</b>	<b>41</b>	26	15 [+Singapore +Ukraine <del>-Switz.</del> +UAE]	15 (37%)	<u><b>NATO(10)</b></u> USA UK Canada Poland Netherlands Romania Estonia Czech Rep Latvia Lithuania <del>Hungary</del> <u><b>PARTNER(5)</b></u> NZ Macedonia Georgia Albania Croatia <del>Finland</del>	26 (63%)	<u><b>NATO(16)</b></u> Belgium Bulgaria Denmark France Germany Greece <del>Hungary</del> Iceland Italy Luxembourg Norway Portugal Slovenia Slovakia Spain Turkey <u><b>PARTNER(10)</b></u> Austria Australia Azerbaijan <del>Finland</del> Ireland Jordan <del>Singapore</del> Sweden <del>Switzerland</del> UAE Ukraine
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<b>2009</b>	<b>43</b>	28 [+ Albania +Croatia]	15 [-Albania -Croatia +Bosnia-Herz +Armenia]	22 (51%)	<u><b>NATO(19)</b></u> USA UK Canada Poland Netherlands Romania Estonia Czech Rep Latvia Lithuania <u><b>Albania *</b></u> <u><b>Croatia*</b></u> <b>Italy</b> <b>France</b> <b>Bulgaria</b> <b>Norway (2x)</b> <b>Denmark(2x)</b> <b>Portugal (2x)</b> <b>Hungary (2x)</b> <u><b>PARTNER(3)</b></u> NZ Macedonia Georgia Albania(↑) Croatia(↑)	21 (49%)	<u><b>NATO(9)</b></u> Belgium <del><b>Bulgaria</b></del> <del><b>Denmark</b></del> <del><b>France</b></del> Germany Greece <del><b>Hungary</b></del> Iceland <del><b>Italy</b></del> Luxembourg <del><b>Norway</b></del> <del><b>Portugal</b></del> Slovenia Slovakia Spain Turkey <u><b>PARTNER(12)</b></u> <del><b>Armenia</b></del> Austria Australia Azerbaijan <del><b>Bosnia-Herz</b></del> Finland Ireland Jordan Singapore Sweden UAE Ukraine
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<b>2010</b>	<b>48</b>	28	20 [+Monte-negro +Mongolia +Rep. Korea +Tonga +Malaysia]	20 (42%)	<b><u>NATO(17)</u></b> UK Canada Poland Romania Estonia Czech Rep Latvia Lithuania Albania Croatia Italy France Bulgaria Norway (2x) Demark (2x) Portugal (2x) Hungary (2x) <b>USA</b> <b>Netherlands</b> <b><u>PARTNER(3)</u></b> NZ Macedonia Georgia	28 (58%)	<b><u>NATO(11)</u></b> Belgium Germany Greece Iceland Luxembourg <b>Netherlands</b> Slovenia Slovakia Spain Turkey <b>USA</b>  <b><u>PARTNER(17)</u></b> Armenia Austria Australia Azerbaijan Bosnia-Herz Finland Ireland Jordan <b>Malaysia</b> <b>Montenegro</b> <b>Mongolia</b> <b>Rep. Korea</b> Singapore Sweden <b>Tonga</b> UAE Ukraine
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<b>2011</b>	<b>49</b>	28	21 [+El Salvador]	19 (39%)	<u><b>NATO(16)</b></u> UK Poland Romania Estonia Czech Rep Latvia Lithuania Albania Croatia Italy France Bulgaria Norway (2x) Demark (2x) Portugal (2x) Hungary (2x) <del>Canada</del> <u><b>PARTNER(3)</b></u> NZ Macedonia Georgia	30 (61%)	<u><b>NATO(12)</b></u> Belgium <del>Canada</del> Germany Greece Iceland Luxembourg Netherlands Slovenia Slovakia Spain Turkey USA <u><b>PARTNER(18)</b></u> Armenia Austria Australia Azerbaijan Bosnia-Herz <del>El Salvador</del> Finland Ireland Jordan Malaysia Montenegro Mongolia Rep. Korea Singapore Sweden Tonga UAE Ukraine
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<b>2012</b>	<b>50</b>	28	22 <span style="background-color: #90EE90; border: 1px solid black; padding: 2px;">[+Bahrain]</span>	18 (36%)	<p><b><u>NATO(15)</u></b>                  UK                  Poland                  Romania                  Estonia                  Czech Rep                  Latvia                  Lithuania                  Albania                  Croatia                  Italy                  France                  Bulgaria                  Norway (2x)                  Demark (2x)                  Portugal (2x)  <del>Hungary</del>  <b><u>PARTNER(3)</u></b>                  NZ                  Macedonia                  Georgia</p>	32 (64%)	<p><b><u>NATO(13)</u></b>                  Belgium                  Canada                  Germany                  Greece  <span style="background-color: orange; color: black;"><b>Hungary</b></span>                  Iceland                  Luxembourg                  Netherlands                  Slovenia                  Slovakia                  Spain                  Turkey                  USA  <b><u>PARTNER(19)</u></b>                  Armenia                  Austria                  Australia                  Azerbaijan  <span style="background-color: orange; color: black;"><b>Bahrain</b></span>                  Bosnia-Herz                  El Salvador                  Finland                  Ireland                  Jordan                  Malaysia                  Montenegro                  Mongolia                  Rep. Korea                  Singapore                  Sweden                  Tonga                  UAE                  Ukraine</p>
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