

ISAF APPENDIX 7(a)

**Table Displaying Known Categories of Caveats
Imposed by Each NATO & Partner Troop Contributing Nation (TCN)
During the ISAF Mission, 2001-2012**

This table was created by Dr Regeena Kingsley and is taken from her PhD thesis in Defence & Strategic Studies (2014) entitled, *'Fighting against Allies: An Examination of "National Caveats" within the NATO-led International Security Assistance Force (ISAF) Campaign in Afghanistan & their Impact on ISAF Operational Effectiveness, 2002-2012.'*

The table was created based on the caveat data gathered and generated during the course of this doctoral research from 2008-2014, especially the data specifically relating to caveat-free and caveat-imposing NATO and Partner Troop Contributing Nation (TCN) countries within the ISAF mission, over the period of over a decade of warfare in Afghanistan between December 2001 and December 2012.

For more information on "national caveats" and the impact of national caveat constraints on the effectiveness of the NATO-led ISAF Operation in Afghanistan, in addition to previous UN-led and NATO-led multinational security operations in Angola, Rwanda, Bosnia-Herzegovina, Kosovo, East Timor, Somalia, Mali, Libya, South Sudan, the Central African Republic and the Democratic Republic of the Congo, please visit www.militarycaveats.com or refer to Dr Regeena Kingsley's full Thesis and its accompanying volume of Appendices (including ISAF national caveat lists), which can be freely viewed and downloaded from Massey University's official website here:

<http://mro.massey.ac.nz/xmlui/handle/10179/6984>.

| CAVEAT CATEGORY | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 |
|-------------------------------------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|
| ISAF TCN | | | | | | | | | | | | | | | | | | | | | |
| Albania | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Armenia | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Australia | o | o | o | o | o | o | o | o | o | X | o | o | o | o | o | o | o | o | o | o | o |
| Austria | o | o | o | o | X | o | o | o | X | o | o | o | o | o | o | o | o | o | o | o | o |
| Azerbaijan | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Bahrain | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Belgium | o | o | o | X | X | o | o | o | o | o | o | o | o | o | o | o | o | o | X | o | o |
| Bosnia Herzegovina | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Bulgaria | o | o | o | X | o | o | o | o | o | o | o | o | o | o | o | o | o | X | o | o | o |
| <u>Lead Nation</u> <u>Canada</u> | o | o | o | X | X | o | X | o | X | X | X | X | X | o | o | o | o | o | o | o | o |
| Croatia | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |

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|--------------------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Czech Rep. | o | o | o | X | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Denmark | o | o | o | X | X | o | o | o | o | X | o | X | o | o | o | X | o | o | X | o | o |
| El Salvador | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Estonia | o | o | o | o | o | o | o | o | o | X | o | X | o | o | o | X | o | o | o | o | o |
| Finland | o | o | X | o | o | o | o | X | X | o | o | o | o | o | o | o | o | o | X | o | o |
| <u>Lead Nation</u> <u>France</u> | X | o | X | X | X | o | o | o | X | X | X | X | o | o | o | o | X | o | X | o | o |
| FYR Macedonia | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Georgia | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| <u>Lead Nation</u> <u>Germany</u> | X | X | X | X | X | X | o | X | X | X | X | X | X | X | o | X | X | X | X | X | X |
| Greece | o | o | X | X | X | X | o | o | o | o | o | o | o | o | X | o | o | X | X | o | o |
| Hungary | o | o | o | X | X | X | o | X | X | o | o | o | o | X | o | o | X | o | X | X | X |
| Iceland | o | o | o | X | o | o | X | X | X | o | X | o | o | o | o | o | o | o | o | o | o |
| Ireland | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| <u>Lead Nation</u> <u>Italy</u> | o | o | X | X | X | X | o | X | o | X | X | X | o | X | X | X | o | X | X | X | X |

| | | | | | | | | | | | | | | | | | | | | | |
|----------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Jordan | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Latvia | o | o | o | X | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Lithuania | o | o | o | X | X | o | o | o | o | o | o | o | o | o | o | o | X | o | o | X | X |
| Luxembourg | o | o | o | X | X | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Malaysia | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Mongolia | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Montenegro | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Lead Nation Netherlands | o | o | o | X | X | X | o | o | o | X | o | X | o | o | o | X | X | o | o | o | o |
| New Zealand | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Norway | o | o | X | X | o | o | X | X | o | X | o | o | o | o | o | o | o | o | o | X | o |
| Poland | o | o | o | X | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Portugal | o | o | o | X | o | o | o | o | o | X | o | o | o | o | o | o | o | o | o | o | o |
| Rep. Korea | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Romania | o | o | o | X | o | o | o | o | o | X | X | X | o | o | o | X | o | o | o | o | o |
| Singapore | o | o | o | o | o | o | o | o | X | o | o | o | o | o | o | o | o | o | o | X | o |

| | | | | | | | | | | | | | | | | | | | | | |
|--------------------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Slovakia | o | o | o | X | X | o | o | o | X | X | o | o | o | o | o | o | o | o | X | o | o |
| Slovenia | o | o | o | X | o | o | o | o | o | o | X | o | o | o | o | o | o | o | o | o | o |
| Spain | X | o | X | X | X | X | X | o | X | o | o | o | o | o | X | o | o | o | X | X | X |
| Sweden | o | o | X | X | X | X | X | o | X | X | o | o | X | o | o | o | o | o | X | o | X |
| Switzerland | o | o | o | o | o | o | o | o | X | o | o | o | o | o | o | o | o | o | o | o | o |
| Tonga | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Lead Nation <u>Turkey</u> | X | o | X | X | o | o | o | o | X | X | X | X | X | o | o | X | X | o | X | X | o |
| Ukraine | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| UAE | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o | o |
| Lead Nation <u>United Kingdom</u> | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Lead Nation <u>United States</u> | o | o | o | o | o | o | X | X | o | X | o | X | o | o | o | o | o | X | o | o | o |

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|-------------|--------------------------|------------------------|--------------------|------------------------------|
| KEY: | X = Known Caveats | -- = No Caveats | o = Unknown | <u>TCN</u> = Lead TCN |
|-------------|--------------------------|------------------------|--------------------|------------------------------|

CAVEAT CATEGORIES:

1. Mission caveats (ISAF vs OEF, or other NATO missions)
2. Theatre of Operations caveats (Afghanistan vs Pakistan)
3. Geographic caveats
4. Regional caveats
5. Area of Operations (AO) caveats within Regional Commands
6. Force Numbers caveats concerning the size of ISAF force contingents
7. Command caveats concerning command arrangements and National Commanders
8. Weaponry and Lethal Force Caveats relating to the use of certain weapons and other military equipment, in addition to the use of force
9. General Operations caveats relating to unauthorised force contingent activities within the ISAF mission (excluding CT and CN activities)
10. Ground Combat Operations caveats relating to combat and combat support operations against insurgents, conducted by ground forces (infantry combat manoeuvre units, QRFs, SOFs)

11. Ground Security Operations caveats relating to other security operations - apart from combat and combat support operations – conducted by ground forces, such as protection and reconnaissance patrols
12. Air Combat Operations caveats relating to combat and combat support operations against insurgents, conducted by air forces (fighter jets, attack helicopters, reconnaissance aircraft and personnel)
13. Other Air Operations caveats relating to other air operations – apart from combat and combat support operations - within Afghanistan (including transport and MEDEVAC operations)
14. Time caveats relating to a specific time of day, or date in the year
15. Weather caveats relating to atmospheric conditions
16. Counter-Terrorism caveats relating to ISAF Counter-Terrorism (CT) operations
17. Counter-Narcotics caveats relating to Counter-Narcotics (CN) operations
18. ISAF Cooperation caveats relating to the cooperation of national ground and air forces with ISAF personnel deployed by other ISAF force contributing nations
19. ANSF Cooperation caveats relating to cooperation of national forces with Afghan National Security Forces (ANSF), in particular constraining OMLT and POMLT teams in their task of training and mentoring Afghan National Army (ANA) and Afghan National Police (ANP) units

- 20.** PRT Security Operations caveats relating to Military Security Units based at Provincial Reconstruction Team (PRT) locations
- 21.** PRT Stability Operations caveats relating to Military-Civilian humanitarian and development aid Personnel at Provincial Reconstruction Team (PRT) locations

